ASP 3 1 2000 HADEMA Appl

Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number

Filing Date

November 9, 1999

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

Complete if Known

Millem P. C. Stemmer

Unassigned

O2-029220US

(use as many sheets as necessary)

•				ι	.S. P	ATENT DOCUMENTS				
U.S. Patent Document			_	me of Patentee or Applicant of	Date of Publication of		Pages, Columns, lines,			
Examiner Initials	Cite No.	Number Kind Code (if known)			Cited Document	Cited Document MM-DD-YYYY		Where Relevant Passages or Relevant Figures Appeal		
B11	AA	5,270,170			Aff N.	ymax Technologies V.	12-14-1993			
BIL	AB	5,358,6	365	ſ		G Industries, Inc.	10-25-1994			
FOREIGN PATENT DOCUMENTS										
		Foreign Patent Document							ages, Columns, Lines,	_
Examiner Initials	Cite No.	Office	Number		Code lown)	Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY		nere Relevant Passages Relevant Figures Appear	<u> </u>
BIL	AC	wo	96/33207			Glaxo Group Limited	10-24-1996			
1.	AD	wo	95/22625			Affymax Technologies N.V.	08-24-1995			
BIN	AE	wo	86/03776			Hernalsteens et al.	07-03-1986			

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
		OTHER PRIOR ANT - NON PATENT LITERATURE DOCUMENTS	Т
Examiner Cite Initials No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
BZS	AF	CHANG et al., (1999) "Evolution of a cytokine using DNA family shuffling" Nature Biotechnology 17:793-797	
1	AG	CHRISTIANS et al., (1999) "Directed evolution of thymidine kinase for AZT phosphorylation using DNA family shuffling" <i>Nature Biotechnology</i> 17:259-264	
	АН	<u>CRAMERI and STEMMER</u> (1995) "Combinatiorial multiple cassett mutagenesis creates all the permutations of mutant and wildtype cassettes" <i>BioTechniques</i> 18:194-195	
	Al	CRAMERI ET AL., 1996. "Construction and evolution of antibody-phage libraries by DNA shuffling." Pg. 100-102.	
	AJ	CRAMERI ET AL., 1996. "Improved Green Fluorescent Protein by Molecular Evolution Using DNA Shuffling." Nature Biotechnology. Vol.14: Pg.315-319.	
	AK	CRAMERI ET AL., 1997. "Molecular evolution of an arsenate detoxification pathway by DNA shuffling." <i>Nature Biotechnology.</i> Vol. 15: Pg. 436-438.	
	AL	<u>FALCONE and TABITA</u> (1993) "Complementation Analysis and Regulation of CO ² Fixation Gene Exxpression in a Ribulose 1,5-Bisphosphate Carboxylase-Oxygenase Deletion Strain of <i>Rhodospirillum rubrum</i> ." <i>J. Bact.</i> 175:5066	
	AM	FALCONE et al., (1991) "Expression of Endogenous and Foreign Ribuulose 1,5-Bisphosphate Carboxylase-Oxygenase(RubisCO) Genes in a RubisCO Deletion Mutant of Rhodobacter sphaeroides." J. Bact. 173:2099	
	AN	FALCONE et al., (1998) "Transporation Mutagenesis and Physiological Analysis of Strains Containing Inactive Form I and Form II Ribulose Biophosphate Carboxylase/Oxygenase Genes in Rhodobacter sphaeroides." J. Bact. 170:5	
BYL	AO	GATES ET AL., 1995. "Affinity Selective Isolation of Ligands from Peptide Lidaries Through Display on a lac Repressor 'Headpiece Dimer." J. Mol. Biol. Pg. 1-14	

	Examiner Signature	B. L. Sinon	Date Considered	- 75.03
--	-----------------------	-------------	--------------------	---------

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

3/18/ 20m,

A7

(Modified) PTO/SB/08A-B (10-96) Approved for use through 10/31/99. OMB 0651-0031

Complete if Known Substitute for form 1449A-B/PTO Application Number 09/437,726 PROEM INFORMATION DISCLOSURE November 9, 1999 Filing Date First Named Inventor Willem P. C. Stemmer STATEMENT BY APPLICANT Group Art Unit 1643 /634 Unassigned **Examiner Name** (use as many sheets as necessary) 02-029220US Attorney Docket Number

AU6 3 1 2000

BIS	AP	MINSHULL and STEMMER (1999) "Protein evolution by molecular breeding" Current Opinion in Chem. Biol. 3:284-290
	AQ	NESS et al., (1999) "ĐNA shuffling of subgenomic sequences of subtilisin" <i>Nature Biotechnology</i> 17:893-896
	AR	O'NEILL et al. (1993) The Plant Journal 3: 729
	AS	PATTEN et al., (1997) "Applications of DNA shuffling to pharmaceuticals and vaccines" Current Opinion in Biotech. 8:724-733
	AT	STEMMER ET AL., 1995. "Single-step assembly of a gene and entire plasmid from large numbers of oligodeoxynucleotides." Vol. 164: Pg. 49-53.
	AU	STEMMER, "Sexual PCR and Assembly PCR." Pg. 447-458
	AV	STEMMER, 1994. "DNA shuffling by random fragmentation and reassembly: <i>In vitro</i> recombination for molecular evolution." <i>Proc. Natl. Acad. Sci. USA</i> . Vol. 91: Pg. 10747-10751
	AW	STEMMER, 1994. "Rapid evolution of a protein <i>in vitro</i> by DNA shuffling." Nature. Vol. 370 No. 4: Pg. 389-391.
	AX	STEMMER, 1995. "Searching Sequence Space." Bio/Technology. Vol. 13: Pg. 549-553.
	AY	STEMMER, 1995. "The Evolution of Molecular Computation." Vol. 270: Pg. 1510
	AZ	STMMER et al., (1999) "Molecular breeding of viruses for targeting and other clinical properties" <i>Tumor Targeting</i> " 4:1-4
BXS	ВА	ZHANG ET AL., 1997. "Directed evolution of a fucosidase from a galactosidase by DNA shuffling and screening." <i>Proc. Natl. Acad. Sci. USA</i> . Vol. 94: Pg. 4504-4509.

Examiner	2.0	Date	/ 2 - 2 - 5
Signature	13. L. Serson	Considered	1-23.03

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.